

FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.
P7355-8002

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: October 27, 1998

U.S. APPLN. NO.
(IF KNOWN, SEE 37 CFR 1.5)

~~09/147198~~

INTERNATIONAL APPLICATION NO.
PCT/JP97/01483

INTERNATIONAL FILING DATE
April 25, 1997

PRIORITY DATE CLAIMED
April 25, 1996

~~09/147094~~

TITLE OF INVENTION: PROGRAM-GUIDE-DISPLAY CONTROLLING APPARATUS AND TELEVISION RECEIVER

APPLICANT(S) FOR DO/EO/US: Akihiko YAMASHITA; Masako WAKISAKA; Hiroshi TSUNODA

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

1. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
2. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
3. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
4. ☐ A substitute specification.
5. ☐ A change of power of attorney and/or address letter.
6. ☒ Other items or information:
 - CHECK NO. 17842
 - Drawings (13 sheets)
 - Japanese Language Request Form
 - Request (PCT/RO/101)
 - Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (PCT/IB/308)
 - Front Page of International Publication No. W097/41689
 - PCT/IPEA/409 Form
 - PCT/IPEA/402 Form

U.S. APPLN. NO. (IF KNOWN, SEE 37
C.F.R. 1.50)

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17. **XX** The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):
Search Report has been prepared by the EPO or JPO.....\$930.00
International preliminary examination fee paid to USPTO (37 CFR
1.482).....\$720.00
No international preliminary examination fee paid to USPTO (37 CFR
1.482) but international search fee paid to USPTO (37 CFR
1.445(a)(2)).....\$790.00
Neither international preliminary examination fee (37 CFR 1.482) or
international search fee (37 CFR 1.445(a)(2)) paid to USPTO..\$1070.00
International preliminary examination fee paid to USPTO (37 CFR
1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)
.....\$ 98.00

CALCULATIONS

PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 1070.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
__ 20 __ 30 months from the earliest claimed priority date (37 CFR
1.492(e)).

\$

Claims

Number Filed

Number Extra

Rate

Total Claims

14 - 20 =

0

X \$ 22.00

\$

Independent Claims

6 - 3 =

3

X \$ 82.00

\$

Multiple dependent claim(s) (if applicable)

+ \$270.00

\$

TOTAL OF ABOVE CALCULATIONS = \$ 1586.00

Reduction by 1/2 for filing by small entity, if applicable.
Verified Small Entity statement must also be filed.
(Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 1586.00

Processing fee of \$130.00 for furnishing the English translation later
the __ 20 __ 30 months from the earliest claimed priority date (37 CFR
1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 1586.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The
assignment must be accompanied by an appropriate cover sheet (37 CFR
3.28, 3.31). \$40.00 per property

\$

40.00

TOTAL FEES ENCLOSED =

\$ 1626.00

Amount to be refunded

\$

Charged

\$

- a. **XX** A check in the amount of \$ 1626.00 to cover the above fees is enclosed.
b. Please charge my Deposit Account No. 14-1060 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.
c. **XX** The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment to Deposit Account No. 14-1060.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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